

sector #3  
PATENT

Attorney Docket No.: A-67031-1/RFT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ERNEST G. HOPE *et al.*

Serial No. 09/382,088

Filed: August 24, 1999

For: COMPOSITIONS AND METHODS FOR  
PROTECTING ORGANS, TISSUE AND  
CELLS FROM IMMUNE SYSTEM-  
MEDIATED DAMAGE

Examiner: NOT ASSIGNED  
Art Unit: 1646

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
deposited with the United States Postal Service  
as First Class Mail in an envelope addressed to:  
BOX MISSING PARTS, Assistant  
Commissioner of Patents, Washington, DC  
20231 on 11/10/99

By:

Wendy Wilson  
Wendy Wilson

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, mailed  
September 10, 1999, enclosed are the following documents:

1. Copy of Notice to File Missing Parts of Application - Filing Date Granted;
2. Our check in the amount of \$1,033.00 for the small entity filing fee and surcharge;
3. Declaration for Patent Application (2);
4. Power of Attorney by Assignee; and
5. Verified Statement Claiming Small Entity Status - Nonprofit Organization

The Assignment has been forwarded to the Assignment Branch for recordation.

The Commissioner is authorized to charge any additional fees, including any extension fees,  
which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No.  
A-67031-1/RFT).

Respectfully submitted,

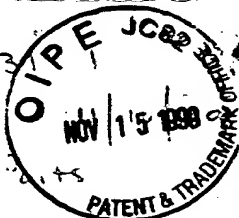
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Date November 10, 1999

  
Richard F. Trecartin, Reg. No. 31,801

Four Embarcadero Center, Suite 3400  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989

A-67031



2FT


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/362,088	08/24/99	HOPE	E A-67031-1/RP

 0262/0910  
 FLEHR HOHBACH TEST ALBRITTON & HERBERT  
 FOUR EMBARCADERO CENTER  
 SUITE 3400  
 SAN FRANCISCO CA 94111-4187

NOT ASSIGNED

1646

DATE MAILED: 09/10/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 2066.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.  
 Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. The following additional claims fees are due:  
 \$ 346.00 for 22 total claims over 20.  
 \$ 780 for 10 independent claims over 3.  
 \$ \_\_\_\_\_ for multiple dependent claim surcharge.  
 Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
 An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
 Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

 11/12/1999 MSUTED 0000094 04382040  
 01 15/201  
 02 15/205  
 03 15/203  
 04 15/206  
 300.00  
 15.00  
 195.00  
 390.00